

# **National Yang Ming Chiao Tung University**

## **Directions for the Establishment of Research and Development Management Committee**

Approved at the 4th Administrative Meeting of the University for the 2020 Academic Year on April 28, 2021

1. In order to effectively manage the University's R&D results and promote the industrialization of R&D results, the Research and Development Management Committee (hereinafter referred to as the Committee) is established in accordance with the governmental laws and regulations and the University's Regulations Governing the Implementation of Academia and Industry Collaboration.
2. The tasks of the Committee are as follows:
  - a. Reviewing patent applications and termination of maintenance cases.
  - b. Reviewing the transfer of R&D results and resolving the need for appraisal procedures as appropriate.
  - c. Review of the University's conflict of interest and information disclosure.
  - d. Evaluation of the University's intellectual property technology appraisal for derivative startups.
  - e. Other consultations related to the management and development of R&D results.
3. This Committee is organized as follows:
  - a. The President shall appoint a commissioner to the Committee and shall serve as the convener of the committee meetings, with the Dean of Research and Development as an ex-officio member. The committee meetings shall be chaired by the commissioner.
  - b. The Committee shall have a maximum of 17 members, with 7 to 10 professors from within the University and 7 external experts in related industries, technology, law, accounting, or investment, recommended by the Dean of Research and Development and submitted to the President for approval. The term of office of the committee members shall be two years and may be re-appointed at the end of the term.
  - c. The Committee shall be convened only when at least one-half of the total number of members are present, and the majority of the members present shall decide on the resolution by joint discussion. In the event of an equal number of votes, the chairman shall make a ruling.
  - d. If the commissioner of the Committee is unable to attend the meeting, he/she may designate a proxy to preside over the meeting beforehand or a person shall be jointly elected by the members present.
  - e. A member of the Committee shall be considered vacant if he/she has one of the following circumstances:
    - 1) A member of the University's faculty or staff who has left the University or is on secondment or retired from the University to work for a private corporation, private organization, or other profit-making private enterprise.
    - 2) A person who has been convicted of a criminal offense by a court of first instance in Taiwan, or who has violated the laws of the Republic of China in a manner significant enough to affect the reputation of the University.
    - 3) A person who submits a written resignation.
  - f. In the event of a vacancy in the membership of the Committee, an alternate member may be appointed in accordance with the nature of the expertise of the vacant member; his/her term of office shall expire on the date of expiration of the original vacant member's term.
4. Convening of meetings
  - a. The Committee shall convene regular meetings on a monthly basis.
  - b. The commissioner of the Committee may convene interim meetings as necessary.
5. Others
  - a. If the technology transfer case is subject to technical appraisal according to the regulations, the creator of the University shall produce the appraisal report and other relevant written documents provided by a third party approved by the government authorities and submit them to the Committee for review.
  - b. The members of the Committee shall be obligated to keep the contents of the meeting confidential, and those who have an interest shall recuse themselves.
6. These directions shall come into effect after being approved by the Administrative Meeting and shall be amended in the same manner.